

AN INFINITE-DIMENSIONAL SUBSPACE OF A NON-NORMABLE AND SEPARABLE FRÉCHET SPACE

M. ALOYCE, S. KUMAR AND M. MPIMBO

ABSTRACT. In this paper, we proved that if F is a non-normable and separable Fréchet space, then there exists an infinite-dimensional subspace $\mathcal{A} \subset L(F)$ such that any non-zero operator $T \in \mathcal{A}$ is hypercyclic. We considered the existing partial solutions due to Bernal-González [15] and Bès and Conejero [9] to develop our results. An illustrative example is also provided.

1. INTRODUCTION

Hypercyclicity of continuous linear operators on non-normable and separable Fréchet spaces has been considered by several authors like Gethner and Shapiro [19], Godefroy and Shapiro [6] and many others. Ansari [22], Bernal-González [14], and Bonet and Peris [10] independently proved that, every separable infinite-dimensional Fréchet space admits a hypercyclic operator. Rolewicz [21] showed that every scalar multiple μB is hypercyclic on ℓ_2 whenever the scalar μ has modulus strictly larger than 1 and $B : (v_1, v_2, v_3, \dots) \mapsto (v_2, v_3, v_4, \dots)$ is the backward shift operator, but Montes [1] showed that no such operators have a hypercyclic subspace. Read [2], and Bernal-González and Montes-Rodríguez [16] constructed the first examples of hypercyclic subspaces.

González et al. [17] proved that if an operator T acting on a Banach space B satisfies that $T \oplus T$ is hypercyclic on $B \times B$, then T has a hypercyclic subspace if and only if there exists a closed, infinite-dimensional subspace B_0 of B and integers $1 < k_1 < k_2 < \dots$ so that,

$$T^{k_n} v \longrightarrow 0 \text{ as } n \longrightarrow \infty \text{ for every } v \in B_0 \quad (1)$$

and moreover, if and only if the essential spectrum of T meets the closed unit disk. Also, condition (1) above is used to prove the existence of a hypercyclic subspace on Fréchet space F with a continuous norm. For example, Bernal-González [15] and Petersson [8] independently used this fact to prove that every separable infinite-dimensional Fréchet space with a continuous norm admits a hypercyclic subspace. Further, Bonet et al. [11] proved that in general, condition (1) above is not sufficient

2010 *Mathematics Subject Classification.* 47A16, 47A15.

Key words and phrases. Non-normable Fréchet space, Hypercyclic operator, Hypercyclic subspace, Hypercyclic manifold.

Submitted Jan. 4, 2019. Revised June 18, 2019.

in the case of Fréchet spaces without a continuous norm, that is, the operator $T : (v_j)_{j \in \mathbb{Z}} \mapsto (2v_{j+1})_{j \in \mathbb{Z}}$ acting on $F = \{(v_j)_{j \in \mathbb{Z}} \in \mathbb{K}^{\mathbb{Z}} : (v_j)_{j=1}^{\infty} \in \ell_2\}$ satisfies condition (1) and $T \oplus T$ is hypercyclic and eventually T does not have a hypercyclic subspace.

Furthermore, Bès and Conejero [9] proved that any countable family of operators of the form $P(B)$, where P is a non-constant polynomial and B is the backward shift operator on ω , the countably infinite product of lines, has a common hypercyclic subspace.

Following is the definition of hypercyclicity:

Definition 1.1. [10] *An operator T on a locally convex space F is called hypercyclic if $\text{Orb}(T, v) := \{v, Tv, T^2v, \dots\}$ is dense in F for some $v \in F$, that is,*

$$\overline{\text{Orb}(T, v)} = \overline{\{v, Tv, T^2v, \dots\}} = F.$$

In this case, v is a hypercyclic vector for T .

In this work, we present the results for an infinite-dimensional subspace of a non-normable and separable Fréchet space and throughout this work an operator means a continuous linear map and $L(F)$ is the space of all operators $T : F \rightarrow F$. The strong operator topology (SOT) in $L(F)$ is the one where the convergence is defined as pointwise convergence at every $v \in F$.

The main goal of this paper is to investigate the following question:

Question 1.1. [23] *If F is a non-normable and separable Fréchet space, is there an infinite-dimensional subspace $\mathcal{A} \subset L(F)$ such that any non-zero operator $T \in \mathcal{A}$ is hypercyclic?*

2. PRELIMINARIES

To establish the main results for this paper, we will require the following definitions, lemmas and theorems:

Definition 2.1. [4] *Let F be a topological vector space. $T \in L(F)$ is said to satisfy the Hypercyclicity criterion if there exists an increasing sequence of integers (n_k) , two dense sets $A, B \subset F$ and a sequence of maps $S_{n_k} : B \rightarrow F$ such that*

- (i) $T^{n_k}(x) \rightarrow 0$ for any $x \in A$;
- (ii) $S_{n_k}(y) \rightarrow 0$ for any $y \in B$;
- (iii) $T^{n_k}S_{n_k}(y) \rightarrow y$ for each $y \in B$.

Definition 2.2. [18] *Let A be a set of points in the plane. The convex hull of A is the smallest convex polygon that contains all the points of A . That is, for any subset of the plane (set of points, rectangle, simple polygon), its convex hull is the smallest convex set that contains that subset.*

Definition 2.3. [5] *A topological vector space F is called normable if its topology can be defined by a norm, that is, if there is a norm $\|\cdot\|$ on F such that the balls*

$$B_\varepsilon = \{v \in F : \|v\| \leq \varepsilon\}, \varepsilon > 0,$$

form a basis of neighborhoods of the origin.

Remark 2.1. [5] *Finite-dimensional Hausdorff spaces are normable while in general, not all infinite-dimensional metrizable topological vector spaces are normable.*

Definition 2.4. [9] *Let T be a continuous linear operator acting on a Fréchet space F . A hypercyclic manifold for T is a dense, invariant subspace of F consisting entirely, except for the origin, of hypercyclic vectors for T . A hypercyclic subspace for T is a closed, infinite-dimensional subspace of F consisting entirely, except for the origin, of hypercyclic vectors for T .*

Lemma 2.1. [20] *Let F be one of the sequence spaces l_p ($1 \leq p < \infty$) or c_0 , and let $(w_k)_{k \geq 0}$ be any bounded sequence of positive scalars. Consider the operator S defined on F by*

$$S(\alpha_0, \alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5, \dots) = (w_0\alpha_1, w_1\alpha_3, w_2\alpha_5, \dots).$$

Then the operator $I + S$ is hypercyclic on F . In fact, it satisfies Hypercyclicity criterion.

Lemma 2.2. [15] *Assume that E, F are complete and metrizable topological vector spaces, $T \in L(E)$, $\hat{T} \in L(F)$, $Q \in L(F, E)$ and Q has dense range and that $Q\hat{T} = TQ$. If \hat{T} satisfies the Hypercyclicity criterion, then T does also.*

Lemma 2.3. [15] *Assume that $(n_k) \in \mathbb{N}$, E and F is Fréchet space and $S_m \subset L(E, F)$. Suppose that following properties are satisfied:*

- (a) *The space E admits a norm which is continuous,*
- (b) *The sequence S_m satisfies the Hypercyclicity criterion with respect to (n_k) ,*
- (c) *There exists a closed infinite-dimensional subspace $E_0 \subset E$ such that for every $y \in E_0$, the sequence $(S_{n_k}y)$ converges in F .*

Then (S_{n_k}) admits a hypercyclic subspace.

Remark 2.2. *The above conclusion says in particular that (S_n) admits a hypercyclic subspace.*

Lemma 2.4. [15] *Let F be an infinite-dimensional locally convex space, and let $T \in L(F)$ be fixed. Then following statements are equivalent:*

- (a) *The set of conjugates $\{STS^{-1} : S \text{ invertible}\}$ of T is strong operator topology (SOT)-dense in $L(F)$.*
- (b) *For all $k \in \mathbb{N}$, there exist h_1, \dots, h_k in F so that the set $\{h_1, \dots, h_k, Th_1, \dots, Th_k\}$ is linearly independent.*

Theorem 2.1. [15] *Suppose that F is a separable infinite-dimensional Fréchet space admitting a continuous norm. Then F supports an operator which possesses a hypercyclic subspace. Even more, the family of such operators is SOT-dense in $L(F)$.*

Theorem 2.2. [13] *Let F be a separable Fréchet space with a continuous norm, and let T be an operator on F . Suppose that there exists an increasing sequence $(k_n)_n$ of positive integers such that*

- (a) *T satisfies the Hypercyclicity Criterion for $(k_n)_n$,*
- (b) *there exists an infinite-dimensional closed subspace N_0 of F such that $T^{k_n}v \rightarrow 0$ for all $v \in N_0$.*

Then T has a hypercyclic subspace.

Theorem 2.3. [9] *Let $(P_n)_{n=1}^\infty$ be any sequence of non-constant polynomials and let B be the backward shift operator on ω . Then the operators $P_n(B)$ ($n \in \mathbb{N}$) have a common hypercyclic subspace. That is, there exists a closed infinite dimensional subspace \mathcal{A} of ω satisfying*

$$\{v, P_n(B)v, P_n^2(B)v, \dots\}$$

is dense in ω for each $0 \neq v \in \mathcal{A}$ and each $n \in \mathbb{N}$.

Bès and Conejero [9] showed two lemmas before proving Theorem 2.3 above. Let Π_k denote the standard projection of ω into \mathbb{K}^k , for each $k \in \mathbb{N}$; that is, $\Pi_k x = (x_1, \dots, x_k)$ for each $x = (x_i)_{i=1}^\infty$ in ω .

Lemma 2.5. [9] *Let $T = P(B)$, where B is the backward shift on ω and $P(t) = a_1 + a_2 t + \dots + a_{d+1} t^d$ is any polynomial of degree $d \geq 1$. Then for each $l, k \in \mathbb{N}$, $(y_1, y_2, \dots, y_l) \in \mathbb{K}^l$ and $(x_1, x_2, \dots, x_{kd}) \in \mathbb{K}^{kd}$, there exists a unique $(z_1, z_2, \dots, z_l) \in \mathbb{K}^l$ so that*

$$\Pi_l T^k(x_1, x_2, \dots, x_{kd}, z_1, z_2, \dots, z_l), (h_1, h_2, \dots) = (y_1, y_2, \dots, y_l)$$

for each h_1, h_2, \dots in \mathbb{K} .

Lemma 2.6. [9] *Let $[f_{i,j}] \in \mathbb{K}^{\mathbb{N} \times \mathbb{N}}$ be an infinite matrix with coefficients in \mathbb{K} and no row of zeros. For each row $f_k = (f_{k,1}, f_{k,2}, \dots)$, let $j_k := \min\{j \in \mathbb{N} : f_{k,j} \neq 0\}$. Suppose further that $(j_n)_{n=1}^\infty$ is strictly increasing, then*

- (a) *$\{f_1, f_2, \dots\}$ is linearly independent, and*
- (b) *$\overline{\text{span}\{f_1, f_2, \dots\}}^\omega = \left\{ \sum_{k=1}^\infty \alpha_k f_k : (\alpha_k)_{k=1}^\infty \in \mathbb{K}^{\mathbb{N}} \right\}$.*

3. MAIN RESULTS

Now, we will respond to Question 1.1 by considering two cases; the case of a non-normable and separable Fréchet space which admits a norm which is continuous and the case of a non-normable and separable Fréchet space which admits a norm which is not continuous.

3.1. The case of a non-normable and separable Fréchet space which admits a norm which is continuous. We extend Theorem 2.1 from separable infinite-dimensional Fréchet space to non-normable and separable Fréchet space.

Theorem 3.1. *Suppose that F is a non-normable and separable infinite-dimensional Fréchet space admitting a continuous norm. Then F supports an operator which possesses a hypercyclic subspace. Even more, the family of such operators is SOT-dense in $L(F)$.*

Proof. The second statement of the theorem is acquired from the first together with Lemma 2.4. Since the family under consideration is invariant under conjugation and F is infinite-dimensional, each hypercyclic operator has at least one dense orbit, say $\{v_0, Tv_0, T^2v_0, \dots\}$. Hence it is sufficient to show that the operator $T \in L(F)$ possesses a hypercyclic subspace.

It is known that the countable product of lines $\omega := \mathbb{K}^{\mathbb{N}}$ endowed with the product topology is a Fréchet space which admits a norm which is not continuous, so $F \neq \omega$. Then by Bonet and Peris [10] (Lemma 2 and Theorem 1), there are sequences $(x_k)_{k \geq 0} \subset F$ and $(f_k)_{k \geq 0} \subset F'$ fulfilling the following conditions:

- (i) (x_k) converge to $0 \in F$, and the closed absolutely convex hull C of (x_k) satisfies that, there is a Banach space F_C which is dense in F .
- (ii) (f_k) is F -equicontinuous in F' .
- (iii) $f_m(x_k) = 0$ if $m \neq k$ and $\{f_k(x_k) : k \geq 0\} \subset (0, 1)$.

Now, consider the operator T on F defined as $T := I + S$, where

$$Sx := \sum_{k=0}^{\infty} \frac{f_{2k+1}(x)}{2^k} x_k, \quad x \in F.$$

In the same way as in the proof of Lemma 3 by Bonet and Peris [10], we obtain

$$C = \left\{ \sum_{k=0}^{\infty} \alpha_k x_k : \sum_{k=0}^{\infty} |\alpha_k| \leq 1 \right\} \tag{2}$$

and the mapping

$$Q : \alpha = (\alpha_k)_{k \geq 0} \in l_1 \mapsto \sum_{k=0}^{\infty} \alpha_k x_k \in F_C$$

is linear, continuous and surjective. By Lemma 2.1, the operator

$$\tilde{T} : (\alpha_k)_{k \geq 0} \in l_1 \mapsto \left(\alpha_0 + f_0(x_0)\alpha_1, \alpha_1 + \frac{f_1(x_1)}{2}\alpha_3, \alpha_2 + \frac{f_2(x_2)}{2^2}\alpha_5, \dots \right) \in l_1$$

satisfies the Hypercyclicity criterion since the weights $w_k = \frac{f_k(x_k)}{2^k}$ are positive and form a bounded sequence.

We need to show that T also satisfies the Hypercyclicity criterion. If we consider Q as a mapping $Q : l_1 \rightarrow F$, then Q is also continuous. Clearly, such a mapping is the composition of $Q : l_1 \rightarrow F_C$ with the canonical inclusion $F_C \rightarrow F$. If

$$\{u_n := \sum_{k=0}^{\infty} \alpha_{k_n} x_k\}, \quad n \in \mathbb{N} \subset F_C$$

is a sequence tending to zero in the topology of F_C , then it also tends to zero in the topology of F , this implies that the inclusion is linear and continuous because by Equation (2) we have

$$\lambda C = \left\{ \sum_{k=0}^{\infty} \alpha_k x_k : \sum_{k=0}^{\infty} |\alpha_k| \leq \lambda \right\}$$

for all $\lambda > 0$.

By condition (iii) above, the series expansion

$$x = \sum_{k=0}^{\infty} \alpha_k x_k$$

of each $x \in F_C$ in terms of some sequence $(\alpha_k) \subset \mathbb{K}$ is unique. So if $\lambda > 0$ and $x \in \lambda C$ we get,

$$\sum_{k=0}^{\infty} |\alpha_k| \leq \lambda, \text{ thus } \sum_{k=0}^{\infty} |\alpha_k| \leq p_B(x).$$

But

$$\lim_{n \rightarrow \infty} p_B(u_n) = 0 \text{ whereas } \lim_{n \rightarrow \infty} \sum_{k=0}^{\infty} |\alpha_{k_n}| = 0.$$

Further, F is locally convex, so in order to show that (u_n) tends to zero in F it is sufficient to show that $u_n \rightarrow 0$ in the Mackey topology, that is,

$$\lim_{n \rightarrow \infty} \sup_{\varphi \in A} |\varphi(u_n)| = 0$$

for every equicontinuous subset $A \subset F'$ (for example, see Proposition 7 by Horváth [12]).

Since x_k is bounded in F , it is true that if A is equicontinuous, then there is a constant $K \in (0, \infty)$ such that

$$\sup_{\varphi \in A, k \geq 0} |\varphi(x_k)| \leq K.$$

Therefore,

$$\begin{aligned} \sup_{\varphi \in A} |\varphi(u_n)| &= \sup_{\varphi \in A} \left| \varphi \left(\sum_{k=0}^{\infty} \alpha_{k_n} x_k \right) \right| \\ &\leq \sum_{k=0}^{\infty} |\alpha_{k_n}| \sup_{\varphi \in A, k \geq 0} |\varphi(x_k)| \\ &\leq K \sum_{k=0}^{\infty} |\alpha_{k_n}| \rightarrow 0 \text{ as } n \rightarrow \infty, \end{aligned}$$

from which the left-hand side approaches to zero as $k \rightarrow \infty$ as desired.

Hence, $Q : l_1 \rightarrow F$ is linear and continuous and also has a dense range due to $Q(l_1) = F_C$ and condition (i) and the fact that $TQ = Q\tilde{T}$ on l_1 . Recalling that $T \in L(F)$, $\tilde{T} \in L(l_1)$ and \tilde{T} satisfies the Hypercyclicity criterion, and setting $F := l_1$ in Lemma 2.2, we conclude that T satisfies the Hypercyclicity criterion. Notice that the restriction of the operator \tilde{T} to the subspace

$$G := \{\alpha \in l_1 : \alpha_{2k+1} = 0, \forall k \geq 0\},$$

is the identity operator. Consider the linear manifold

$$G_0 := Q(G) \subset F_C \subset F.$$

Since $TQ = Q\tilde{T}$ on l_1 , the observation that the restriction of the operator \tilde{T} to G is the identity yields that T is the identity on G_0 , that is, $Tx = x$ for all $x \in G_0$, and by continuity we get $Tx = x$ for all $x \in F_0$ where

$$F_0 := \text{closure}_F(G_0). \quad (3)$$

From condition (iii) above, we can easily deduce that the sequence x_k is linearly independent. Thus, $\text{span}(\{x_{2k} : k \geq 0\})$ is infinite-dimensional, hence by Equation (3) and by the inclusions

$$\text{span}(\{x_{2k} : k \geq 0\}) \subset G_0 \subset F_0,$$

we obtain that F_0 is a closed infinite-dimensional subspace of F .

Lastly, if $x \in F_0$ then $Tx = x$ so $S_k x = x$ for every $k \in \mathbb{N}$ and every $x \in F_0$, where we have set $S_k := T^k$ for every $k \in \mathbb{N}$ and hence, $\lim_{k \rightarrow \infty} S_k x = x$ exists for all $x \in F_0$. Therefore by the application of Lemma 2.3 with $F := E$, we conclude that $(S_{m_n} x)$ converges in F for every $x \in F_0$, where $m_n \subset \mathbb{N}$ is a sequence with respect to which T or the sequence S_k satisfies the Hypercyclicity criterion. \square

3.2. The case of a non-normable and separable Fréchet space which admits a norm which is not continuous.

We consider a non-normable and separable Fréchet space $F = \omega := \mathbb{K}^{\mathbb{N}}$ that is, the countable product of lines given the product topology. Even though $F = \omega$ does not have a dense subspace with a continuous norm, we still can use Theorem 2.3 by Bès and Conejero [9] to show that it supports operators with a hypercyclic subspace.

Our main result in this subsection is stated in Theorem 3.2 and we will require following two lemmas:

Let Π_k denote the standard projection of $F = \omega$ into \mathbb{K}^k , for each $k \in \mathbb{N}$; that is, $\Pi_k v = (v_1, \dots, v_k)$ for each $v = (v_i)_{i=1}^{\infty}$ in $F = \omega$.

Lemma 3.1. *Let $T = P(B)$, where B is the backward shift on $F = \omega$ and $P(t) = a_1 + a_2 t + \dots + a_{d+1} t^d$ is any polynomial of degree $d \geq 1$. Then for each $l, k \in \mathbb{N}$, $(u_1, u_2, \dots, u_l) \in \mathbb{K}^l$ and $(v_1, v_2, \dots, v_{kd}) \in \mathbb{K}^{kd}$, there exists a unique $(w_1, w_2, \dots, w_l) \in \mathbb{K}^l$ so that*

$$\Pi_l T^k (v_1, v_2, \dots, v_{kd}, w_1, w_2, \dots, w_l, h_1, h_2, \dots) = (u_1, u_2, \dots, u_l)$$

for each h_1, h_2, \dots in \mathbb{K} .

Proof. For every $v = (v_i)_{i=1}^{\infty}$ in $F = \omega$, we have

$$Tv = \left(a_1 v_j + a_2 v_{j+1} + \dots + a_d v_{j+d-1} + a_{d+1} v_{j+d} \right)_{j=1}^{\infty},$$

and generally, for every $k \in \mathbb{N}$ the k th iterate of T is of the form

$$T^k v = \left(\varphi_{k,j}(v_1, v_2, \dots, v_{j+kd-1}) + (a_{d+1})^k v_{j+kd} \right)_{j=1}^{\infty},$$

for some linear functions

$$\varphi_{k,j} : \mathbb{K}^{kd+j-1} \rightarrow \mathbb{K}, \quad j \in \mathbb{N}$$

that are independent of v . Therefore, the lemma follows since $a_{d+1} \neq 0$.

Remark 3.1. *Notice that in Lemma 3.1 we have,*

- (i) *If $u_1 = \dots = u_l = v_1 = \dots = v_{kd} = 0$, then $w_1 = \dots = w_l = 0$.*
- (ii) *If $l = 1$ and $u_1 \neq 0$ and $v_1 = \dots = v_{kd} = 0$, then $w_1 \neq 0$.*

\square

Lemma 3.2. Let $[f_{i,j}] \in \mathbb{K}^{\mathbb{N} \times \mathbb{N}}$ be an infinite matrix with coefficients in \mathbb{K} and no row of zeros. For each row $f_k = (f_{k,1}, f_{k,2}, \dots)$, let $j_k := \min\{j \in \mathbb{N} : f_{k,j} \neq 0\}$. Moreover, if $(j_n)_{n=1}^{\infty}$ is strictly increasing, then

- (a) $\{f_1, f_2, \dots\}$ is linearly independent, and
 (b) $\overline{\text{span}\{f_1, f_2, \dots\}^{F=\omega}} = \left\{ \sum_{k=1}^{\infty} \alpha_k f_k : (\alpha_k)_{k=1}^{\infty} \in \mathbb{K}^{\mathbb{N}} \right\}$.

Proof. For each $s \in \mathbb{N}$ we have $f_{s,j_s} \neq 0$ and $f_{k,j} = 0$ for every $(k, j) \in (s, \infty) \times [1, j_s]$, since $(j_n)_{n=1}^{\infty}$ is strictly increasing. Hence (a) follows and $\sum_{k=1}^{\infty} \alpha_k f_k$ converges in $F = \omega$ for any $(\alpha_k)_{k=1}^{\infty} \in \mathbb{K}^{\mathbb{N}}$. Let

$$g \in \overline{\text{span}\{f_1, f_2, \dots\}^{F=\omega}},$$

then there exist integers $1 < r_1 < r_2 < \dots$ and sequences

$$(\alpha_{k,1})_{k=1}^{\infty}, (\alpha_{k,2})_{k=1}^{\infty}, \dots \in \mathbb{K}$$

so that

$$h_k := (\alpha_{k,1}f_1 + \alpha_{k,2}f_2 + \dots + \alpha_{k,r_k}f_{r_k}) \rightarrow g, \text{ as } k \rightarrow \infty. \quad (4)$$

We prove that there exists a sequence $(\alpha_s)_{s=1}^{\infty} \in \mathbb{K}$ so that

$$\Pi_{j_s} = (\alpha_1 f_1 + \alpha_2 f_2 + \dots + \alpha_s f_s) = \Pi_{j_s}(g), \quad s \in \mathbb{N}. \quad (5)$$

Now,

$$\alpha_{k,1}(f_{1,1}, f_{1,2}, \dots, f_{1,j_1}) = \Pi_{j_1}(h_k)$$

and so by Equation (4), $\alpha_{k,1} \rightarrow \alpha_1$ as $k \rightarrow \infty$ and

$$\Pi_{j_1}(g) = \Pi_{j_1}(\alpha_1 f_1), \text{ where } \alpha_1 = g_{j_1}/f_{1,j_1}.$$

Inductively, suppose that we found $\alpha_i \in \mathbb{K}$ where $(1 \leq i \leq s-1)$ so that

$$\alpha_{k,i} \rightarrow \alpha_i \text{ as } k \rightarrow \infty \text{ and } \Pi_{j_i}(g) = \Pi_{j_i}(\alpha_1 f_1 + \dots + \alpha_i f_i) \quad (6)$$

for every $(1 \leq i \leq s-1)$.

On the other hand, since $(j_n)_{n=1}^{\infty}$ is strictly increasing, then

$$\Pi_{j_s}(\alpha_{k,1}f_1 + \dots + \alpha_{k,s}f_s) = \Pi_{j_s}(h_k)$$

and so by Equations (6) and (4) we have $\alpha_{k,s} \rightarrow \alpha_s$ as $k \rightarrow \infty$ and

$$\Pi_{j_s}(g) = \Pi_{j_s}(\alpha_1 f_1 + \dots + \alpha_s f_s),$$

$$\text{where } \alpha_s = (g_{j_s}(\alpha_1 f_{1,j_s} + \dots + \alpha_{s-1} f_{s-1,j_s}))/f_{s,j_s},$$

hence Equation (5) follows. \square

Theorem 3.2. Let $(P_n)_{n=1}^{\infty}$ be any sequence of non-constant polynomials and let B be the backward shift operator on $F = \omega$. Then the operators $P_n(B)$ ($n \in \mathbb{N}$) have a common hypercyclic subspace. That is, there exists a closed infinite dimensional subspace \mathcal{A} of $F = \omega$ satisfying that

$$\{v, P_n(B)v, P_n^2(B)v, \dots\}$$

is dense in $F = \omega$ for each $0 \neq v \in \mathcal{A}$ and each $n \in \mathbb{N}$.

Proof. Let $\{r_l : l \in \mathbb{N}\}$ be a countable dense set in $F = \omega$ so that every $r_l = (r_{l,j})_{j=1}^\infty$ satisfies that $r_{l,j} \neq 0$ if and only if $1 \leq j \leq l$. For every $n \in \mathbb{N}$, let $T_n := P_n(B)$ and $d_n := \text{degree}(P_n)$.

In proving Theorem 3.2 above, we will use the following statement:

Step 3.1. *There exists an infinite, upper triangular matrix $H = [f_{i,j}] \in \mathbb{K}^{\mathbb{N} \times \mathbb{N}}$ satisfying*

- (1) *no row $f_k = (f_{k,1}, f_{k,2}, \dots)$ is zero.*
- (2) *the sequence $(j_k)_{k=1}^\infty$ given by $j_k := \min\{f_{k,j} \neq 0 : j \in \mathbb{N}\}$ is strictly increasing.*
- (3) *for every $(n, i, l) \in \mathbb{N} \times \mathbb{N} \times \mathbb{N}$ with $n < i + l$, there exists a positive integer $m_{n,i,l}$ so that*

$$\Pi_l T_n^{m_{n,i,l}} f_k = \begin{cases} (r_{l,1}, r_{l,2}, \dots, r_{l,l}) & \text{if } k = i, \\ (0, 0, \dots, 0) & \text{if } k \neq i. \end{cases}$$

Suppose the statement holds, then we show that

$$S := \overline{\text{span}\{f_1, f_2, \dots\}^{F=\omega}}$$

is a hypercyclic subspace for every T_n where $n \in \mathbb{N}$. By conditions (1), (2), and Lemma 3.2(a), the closed subspace S is infinite-dimensional. Let $0 \neq f \in S$, we now prove that f is hypercyclic for T_n , $n \in \mathbb{N}$. By Lemma 3.2, f can be written as

$$f = \sum_{k=1}^\infty \alpha_k f_k$$

for some sequence of scalars $(\alpha_k)_{k=1}^\infty$. If necessary, multiplying f by a non-zero scalar, we may assume without loss of generality that $\alpha_i = 1$ for some $i \in \mathbb{N}$. But by condition (3), for every $l > \max\{n - i, 1\}$, one has

$$\Pi_l T_n^{m_{n,i,l}} f = \sum_{k=1}^\infty \alpha_k \Pi_l T_n^{m_{n,i,l}} f_k = \Pi_l T_n^{m_{n,i,l}} f_i = (r_{l,1}, r_{l,2}, \dots, r_{l,l}).$$

Hence, it follows that f is hypercyclic for T_n , $n \in \mathbb{N}$.

We now proceed with the proof of the theorem by proving the statement in Step (3.1) above, as follows:

Let $N_{0,0} := 1$. Inductively, for every $M \in \mathbb{N}$ define

$$\Pi_l T_n^{m_{n,i,l}} f_k = \begin{cases} N_M := d_M N_{(M-1), (M-1)^2}, \\ N_{M,i} := 2^{M+i} N_M \quad (1 \leq i \leq N^2), \\ N_{(M-1), (M-1)^2+1} := N_{M,1}. \end{cases} \tag{7}$$

Also, for every $(n, i, l) \in \mathbb{N} \times \mathbb{N} \times \mathbb{N}$ with $1 \leq n \leq i + l - 1$ let

$$m_{n,i,l} := \frac{N_{(i+l-1), ((n-1)(i+l-1)+i)}}{d_n}.$$

Finally, let $f_{k,j} = 0$ for every $(k, j) \in \mathbb{N} \times [1, N_{1,1}]$. Then define the matrix $H = [f_{k,j}] \in \mathbb{K}^{\mathbb{N} \times \mathbb{N}}$ of the statement in Step 3.1 above inductively, where by in each inductive step M , we let $f_{k,j} = 0$ for all $(k, j) \in \mathbb{N} \times (N_{M,1}, N_{M+1,1}]$.

Step 3.2. *When $M = 1$.*

We define $f_{k,j}$ for all $(k, j) \in \mathbb{N} \times (N_{1,1}, N_{2,1}]$ so that

$$\Pi_1 T_1^{m_{1,1,1}} g_k = \begin{cases} r_{1,1} & \text{if } k = 1, \\ 0 & \text{if } k \neq 1, \end{cases} \tag{8}$$

for any $g_k \in F = \omega$ of the form $g_k = (f_{k,1}, f_{k,2}, \dots, f_{k,N_{2,1}}, *, *, \dots)$. Letting $l = 1, m = m_{1,1,1}, T = T_1, d = d_1, u_1 = r_{1,1}$ and $v_j = f_{1,j}$ ($1 \leq j \leq N_{1,1}$), by Lemma 3.1 there exists a unique $w \in \mathbb{K}$ so that

$$\Pi_1 T_1^{m_{1,1,1}} (f_{1,1}, f_{1,2}, \dots, f_{1,N_{1,1}}, w, *, *, \dots) = r_{1,1}.$$

If we define $f_{1,N_{1,1}+1} := w$, and $f_{k,j} = 0$ for every $(1, N_{1,1} + 1) \neq (k, j) \in \mathbb{N} \times (N_{1,1}, N_{2,1}]$, then Equation (8) is satisfied. By Remark 3.1(ii), we notice that $f_{1,N_{1,1}+1} = w \neq 0$.

Step 3.3. When $M \geq 2$.

We divide this step into M^2 substeps for each $(n, i) \in [1, M] \times [1, M]$. Let us start with Substep (3.2.1) $M.1.1$ below and follow with the lexicographic order given by the relation $(n', i') < (n, i)$ if and only if either $n' < n$ or both $n' = n$ and $i' < i$.

In each substep $M.n.i$ we define the coordinates $f_{k,j}$ for all indexes

$$(k, j) \in \mathbb{N} \times (N_{M,(n-1)M+i}, N_{M,(n-1)M+i+1}],$$

so that

$$\Pi_l T_n^{m_{n,i,l}} g_k = \begin{cases} (r_{l,1}, \dots, r_{l,l}) & \text{if } k = i \\ (0, \dots, 0) & \text{if } k \neq i \end{cases} \tag{9}$$

for any g_k of the form $g_k = (f_{k,1}, \dots, f_{k,N_{M,(n-1)M+i+1}}, *, *, \dots)$ and $l = M + 1 - i$. By Equation (7), we notice that $N_{M,(n-1)M+i} \leq N_{M,(n-1)M+i+1}$ whenever $(n, i) \in [1, M] \times [1, M]$.

Substep 3.2.1. $M.1.1$.

Applying Lemma 3.1 M -times and considering for every $1 \leq k \leq M : l = M, m = m_{1,1,M}, T = T_1, d = d_1, v_1^{(k)} = f_{k,j}$ ($1 \leq j \leq N_{M,1}$) and $(u_1^{(k)}, \dots, u_M^{(k)}) = (r_{M,1}, \dots, r_{M,M})$ if $k = 1$ and $(u_1^{(k)}, \dots, u_M^{(k)}) = (0, \dots, 0) \in \mathbb{K}^M$ if $k \neq 1$, we get

$$(w_1^{(k)}, w_2^{(k)}, \dots, w_M^{(k)}) \in \mathbb{K}^M \quad (1 \leq k \leq M)$$

so that

$$\Pi_M T_1^{m_{1,1,M}} g_k = \begin{cases} (r_{M,1}, \dots, r_{M,M}) & \text{if } k = 1, \\ (0, \dots, 0) & \text{if } k \neq 1, \end{cases} \tag{10}$$

for any g_k of the form

$$g_k = (f_{k,1}, \dots, f_k, N_{M,1}, w_1^{(k)}, \dots, w_M^{(k)}, *, *, \dots).$$

Hence Equation (9) is satisfied for $(n, i) = (1, 1)$ if we define

$$(f_k, N_{M,1} + 1, \dots, f_k, N_{M,1} + M) = (w_1^{(k)}, \dots, w_M^{(k)}) \quad (1 \leq k \leq M)$$

and $f_{k,j} = 0$ for every (k, j) in either $(\mathbb{N} \setminus \{1, \dots, M\}) \times (N_{M,1}, N_{M,2}]$ or in $\mathbb{N} \times (N_{M,1} + N + 1, N_{M,2}]$.

Substep 3.2.2. *M.n.i.*

We have already defined $f_{k,j}$ for every $(k, j) \in \mathbb{N} \times [1, N_{M,(n-1)M+i}]$ so that Equation (9) holds for every $(1, 1) \leq (n', i') < (n, i)$. That is,

$$\Pi_l T_{n'}^{m_{n',i',l}} g_k = \begin{cases} (r_{l,1}, \dots, r_{l,l}) & \text{if } k = i' \\ (0, \dots, 0) & \text{if } k \neq i' \end{cases} \tag{11}$$

for any $g_k \in F = \omega$ of the form $g_k = (f_{k,1}, \dots, f_{k,N_{M,(n-1)M+i'+1}}, *, *, \dots)$ and $l = M + 1 - i'$.

Applying Lemma 3.1 M -times and considering for every $1 \leq k \leq M : l = M + 1 - i$,

$$m = m_{n,i,l}, T = T_n, d = d_n, v_j^{(k)} = f_{k,j} \ (1 \leq j \leq N_{M,(n-1)M+i}),$$

$$\text{and } (u_1^{(k)}, \dots, u_l^{(k)}) = (r_{l,1}, \dots, r_{l,l})$$

if $k = i$ and $(u_1^{(k)}, \dots, u_l^{(k)}) = (0, \dots, 0) \in \mathbb{K}^l$ if $k \neq i$, to obtain $(w_1^{(k)}, w_2^{(k)}, \dots, w_l^{(k)}) \in \mathbb{K}^l$, $(1 \leq k \leq M)$ so that

$$\Pi_l T_n^{m_{n,i,l}} g_k = \begin{cases} (r_{l,1}, \dots, r_{l,l}) & \text{if } k = i, \\ (0, \dots, 0) & \text{if } k \neq i, \end{cases} \tag{12}$$

for any $g_k \in F = \omega$ of the form

$$g_k = (f_{k,1}, \dots, f_{k,N_{M,(n-1)M+i}}, w_1^{(k)}, \dots, w_l^{(k)}), *, *, \dots$$

and $l = M + 1 - i$. So Equation (9) is satisfied if we define

$$f_{k,N_{M,(n-1)M+i} + s} = w_s^{(k)}$$

when $(k, s) \in [1, M] \times [1, l]$, and $f_{k,j} = 0$ for all indexes (k, j) in either

$$(\mathbb{N} \setminus \{1, \dots, M\}) \times (N_{M,(n-1)M+i}, N_{M,(n-1)M+i+1}]$$

$$\text{or } \{1, \dots, M\} \times (N_{M,(n-1)M+i} + l, N_{M,(n-1)M+i+1}].$$

Now, we have defined completely the matrix $H = [f_{k,j}] \in \mathbb{K}^{\mathbb{N} \times \mathbb{N}}$. We observe that, for every $M \in \mathbb{N}$, $f_{M,j} = 0$ for $1 \leq j \leq N_{M,M}$ and by Remark 3.1(ii), $f_{M,N_{M,M}+1} \neq 0$. Thus,

$$j_M = \min\{f_{M,j} \neq 0 : j \in \mathbb{N}\} = N_{M,M} + 1,$$

and hence conditions (1) and (2) of the Step 3.1 above hold.

Finally, given any $(n, i, l) \in \mathbb{N} \times \mathbb{N} \times \mathbb{N}$ with $n < i + l$, our definitions on Substep (3.2.2) M, n, i of step $M = i + l - 1$ given by Equation (9) ensure that

$$\Pi_l T_n^{m_{n,i,l}} g_k = \begin{cases} (r_{l,1}, \dots, r_{l,l}) & \text{if } k = i, \\ (0, \dots, 0) & \text{if } k \neq i. \end{cases}$$

Therefore, condition (3) of the Step 3.1 above holds. □

We now present an illustrative example to support the results above. We use Definition 2.1 and Theorem 2.2 above and apply the results by MacLane [7], and Grosse-Erdmann and Manguillot [13] to construct this example, as follows:

Example 3.1. Let F be a separable Fréchet space that is isomorphic to $H(\mathbb{C})$, the space of all entire functions which has a continuous norm, and let $D : f \mapsto f'$ be the derivative operator on F . Then D has a hypercyclic subspace.

Proof. The derivative operator $D : f \mapsto f'$ satisfies the Hypercyclicity Criterion for the full sequence since it is hypercyclic (see Example 1.8 by Bayart and Matheron [4]). So condition (a) of the Theorem 2.2 above is satisfied. Now, it remains to show the existence of an infinite-dimensional closed subspace N_0 of $F = H(\mathbb{C})$ on which suitable powers of D approaches to 0.

By starting, we note that for any $k \geq 1$ there is some $C_k > 0$ such that for all $v \geq C_k$,

$$v^k \leq 2^v. \quad (13)$$

Next we choose a strictly increasing sequence of positive integers $(k_n)_n$ with $k_1 \geq 1$ such that

$$k_{n+1} \geq C_{k_n} \text{ for all } n \geq 1.$$

For $i \geq n + 1$ we have that $k_i \geq k_{n+1} \geq C_{k_n}$ and hence, by Equation (13) above

$$k_i^{k_n} \leq 2^{k_i} \text{ for } i \geq n + 1. \quad (14)$$

Now, let N_0 be the closed subspace of $F = H(\mathbb{C})$ of all entire functions f of the form

$$f(w) = \sum_{n=1}^{\infty} a_n w^{k_n-1}.$$

We need to show that

$$D^{k_n} f \longrightarrow 0 \text{ in } F = H(\mathbb{C}) \text{ as } n \longrightarrow \infty.$$

Let $R \geq 1$. Then we have,

$$\begin{aligned} \sup_{|w| \leq R} \left| D^{k_n} f(w) \right| &= \sup_{|w| \leq R} \left| \sum_{i=n+1}^{\infty} a_i D^{k_n} w^{k_i-1} \right| \\ &\leq \sum_{i=n+1}^{\infty} |a_i| (k_i - 1) \cdots (k_i - k_n) R^{k_i - k_n - 1} \\ &\leq \sum_{i=n+1}^{\infty} |a_i| k_i^{k_n} R^{k_i} \\ &\leq \sum_{i=n+1}^{\infty} |a_i| (2R)^{k_i} \longrightarrow 0 \text{ as } n \longrightarrow \infty, \end{aligned}$$

where in the last inequality we have used Equation (14). Thus, condition (b) of the Theorem 2.2 above holds. \square

REFERENCES

- [1] A. Montes, Banach spaces of hypercyclic vectors, *Michigan Math. J.*, **43**(3), 419-436, 1996.
- [2] C. J. Read, The invariant subspace problem for a class of Banach spaces, 2: Hypercyclic operators, *Israel J. Math.*, **63**(1), 1-40, 1988.
- [3] D. W. Hadwin, E. A. Nordgren, H. Radjavi and P. Rosenthal, Most similarity orbits are strongly dense, *Proc. Amer. Math. Soc.*, **76**(2), 250-252, 1979.

- [4] F. Bayart and É. Matheron, Dynamics of linear operators, Cambridge University Press, Cambridge, 2009.
- [5] F. Trèves, Topological Vector Spaces, Distributions and Kernels: Pure and Applied Mathematics, Elsevier, Vol. 25, 1967.
- [6] G. Godefroy and J. H. Shapiro, Operators with dense, invariant, cyclic vector manifolds, *J. Funct. Anal.*, **98**(2), 229-269, 1991.
- [7] G. R. MacLane, Sequences of derivatives and normal families, *J. d'Analyse Math.*, **2**(1), 72-87, 1952.
- [8] H. Petersson, Hypercyclic subspaces for Fréchet space operators, *J. Math. Anal. Appl.*, **319**(2), 764-782, 2006.
- [9] J. Bès and J. A. Conejero, Hypercyclic subspaces in omega, *J. Math. Anal. Appl.*, **316**(1), 16-23, 2006.
- [10] J. Bonet and A. Peris, Hypercyclic operators on non-normable Fréchet spaces, *J. Funct. Anal.*, **159**(2), 587-595, 1998.
- [11] J. Bonet, F. Martínez-Giménez and A. Peris, Universal and chaotic multipliers on spaces of operators, *J. Math. Anal. Appl.*, **297**(2), 599-611, 2004.
- [12] J. Horváth, Topological vector spaces and distributions, Addison-Wesley, Reading, Vol. 1, 1966.
- [13] K. G. Grosse-Erdmann and A. P. Manguillot, Linear chaos, Springer Science & Business Media, Berlin, 2011.
- [14] L. Bernal-González, On hypercyclic operators on Banach spaces, *Proc. Amer. Math. Soc.*, **127**(4), 1003-1010, 1999.
- [15] L. Bernal-González, Hypercyclic subspaces in Fréchet spaces, *Proc. Amer. Math. Soc.*, **134**(7), 1955-1961, 2006.
- [16] L. Bernal-González and A. Montes-Rodríguez, Non-finite dimensional closed vector spaces of universal functions for composition operators, *J. Approx. Theory*, **82**(3), 375-391, 1995.
- [17] M. González, F. León-Saavedra and A. Montes-Rodríguez, Semi-Fredholm theory: hypercyclic and supercyclic subspaces, *Proc. London Math. Soc.*, **81**(1), 169-189, 2000.
- [18] P. K. Agarwal and J. Erickson, Geometric range searching and its relatives, *Cont. Math.*, **223**, 1-56, 1999.
- [19] R. M. Gethner and J. H. Shapiro, Universal vectors for operators on spaces of holomorphic functions, *Proc. Amer. Math. Soc.*, **100**(2), 281-288, 1987.
- [20] S. Grivaux, Hypercyclic operators with an infinite dimensional closed subspace of periodic points, *Rev. Mat. Complut.*, **16**(2), 383-390, 2003.
- [21] S. Rolewicz, On orbits of elements, *Studia Math.*, **32**(1), 17-22, 1969.
- [22] S. I. Ansari, Existence of hypercyclic operators on topological vector spaces, *J. Funct. Anal.*, **148**(2), 384-390, 1997.
- [23] T. J. B. De Leon, M. J. G. Ortiz and J. Pello-Garca, Several open problems in operator theory, *Extracta Math.*, **28**(2), 149-156, 2013.

M. ALOYCE

DEPARTMENT OF ECONOMICS AND STATISTICS, MOSHI CO-OPERATIVE UNIVERSITY, MOSHI, TANZANIA

E-mail address: melkiorymarandu74@gmail.com

S. KUMAR

DEPARTMENT OF MATHEMATICS, COLLEGE OF NATURAL AND APPLIED SCIENCES, UNIVERSITY OF DAR ES SALAAM, TANZANIA

E-mail address: drsengar2002@gmail.com

M. MPIMBO

DEPARTMENT OF MATHEMATICS, COLLEGE OF NATURAL AND APPLIED SCIENCES, UNIVERSITY OF DAR ES SALAAM, TANZANIA

E-mail address: kmpimbo33@gmail.com